

# **ULSTER COUNTY TRANSPORTATION COUNCIL**

**FFY 07-08**

## **FEDERAL AID OBLIGATION REPORT**

**Reporting Period**  
**October 1, 2006 to March 31, 2008**



**June 17, 2008**

## **ULSTER COUNTY TRANSPORTATION COUNCIL**

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## **BACKGROUND**

The U.S. Department of Transportation requires every metropolitan area with a population over 50,000 to have a designated MPO in order to qualify for the receipt of federal highway and public transportation funds. In 2003, the Governor of New York State designated the UCTC as the MPO responsible for transportation planning in Ulster County. As the designated MPO, the UCTC is responsible for making final decisions for programming transportation improvements in the Kingston Urbanized area and a portion of the Poughkeepsie-Newburgh Transportation Management Area (TMA).

Federal regulations require an "Annual Listing" of bridge, highway and transit projects, including pedestrian and bicycle facilities, which federal transportation funds have been obligated in the preceding year. An *obligation* is the U.S. Department of Transportation's legal commitment to pay the federal share of a project's cost. Projects for which funds have been obligated are not necessarily initiated or completed in a given program year and the amount of the obligation in a single year will not necessarily equal the total cost of the project. This annual listing of Federal aid obligations must be made available for public review by the Metropolitan Planning Organization (MPO). The listing must be consistent with the funding categories identified in the adopted Transportation Improvement Program (TIP).

On January 30, 2008, the UCTC Policy Committee agreed to report Federal Aid Obligations semiannually to more closely monitor the progress of implementing Federal aid projects in Ulster County.

## **TRANSPORTATION IMPROVEMENT PROGRAM**

The TIP is a five-year financial plan that identifies the project costs, funding sources, phase types and implementation schedule of federally funded transportation improvements. TIP projects must be consistent with the overall goals and objectives identified in the Long Range Transportation Plan. The TIP can be viewed online at [www.co.ulster.ny.us/planning/uctc/documents/tip/2012tip.pdf](http://www.co.ulster.ny.us/planning/uctc/documents/tip/2012tip.pdf).

## **LONG RANGE TRANSPORTATION PLAN**

A Federal Aid Obligation Report together with the Long Range Transportation Plan (LRTP) helps to evaluate an MPO's overall performance. Financial forecasts from the LRTP help establish a baseline for which Federal aid obligations can be compared to help measure an MPO's ability to implement projects. A continuation of underperforming annual Federal Aid Obligation Reports may indicate one or more of the following: 1) financial forecasts improperly calculated; 2) a down turn in the economy resulting in less Federal aid available for programming; 3) inequitable distribution of Federal and State resources; 4) rising inflation rates and construction costs; 5) political turnover; 6) the complexity of the Federal aid process; 7) limitation of staff support at the NYSDOT Local Projects Unit; 8) limited local municipal staff support and/or awareness of the TIP process; 9) insufficient funding

to match federal aid projects programmed; 10) no formal mechanism established to free up old, unused Federal aid; and 11) the Local Projects “funding cap.” The LRTP can be viewed online at [www.co.ulster.ny.us/planning/lrtp.html](http://www.co.ulster.ny.us/planning/lrtp.html).

The list of obligated projects is organized and presented in a manner consistent with the Transportation Improvement Program (TIP). For each project identified, the list includes 1) a Project Identification Number (PIN) that NYSDOT uses to track projects; 2) a narrative description of the project; 3) project phases identified on the TIP; 4) amount programmed on the TIP; 5) total project cost; 6) project phases obligated; and 7) amount of federal funds obligated.

Phases of TIP projects are categorized in the following manner:

- Scoping: This phase includes meetings with project developers and designers, local government representatives, and other involved parties. Decisions are made regarding specific elements that will be included in the project and the range of design alternatives that will be investigated.
- Preliminary Design/Engineering: This phase includes basic engineering work on each alternative, traffic studies, environmental analyses, and other work specific to the project. Public outreach in accordance with state and federal requirements is used to gain community input on the project. A preferred alternative is selected to advance the project.
- Detailed Design: This phase includes detailed engineering work on the preferred alternative. This phase results in the development of plans and specifications that a construction contractor will work from.
- Right-of-Way (ROW) Incidentals: This phase includes preparation work required prior to right-of-way acquisition.
- Right-of-Way (ROW) Acquisition: This phase includes the acquisition of right-of-way (property) necessary to complete the project. Acquisition can be achieved through the purchase of property or an easement.
- Construction: This phase includes all work necessary to build the project.
- Construction Inspection: This phase includes ongoing inspection to ensure construction is performed properly and conforms to specifications.
- Miscellaneous/Other: This phase is usually associated with public transportation projects. It usually involves the purchase and acquisition of vehicles and associated preventive maintenance, though in some cases, such as for station, parking, and maintenance/storage facilities, the construction of fixed or permanent facilities is undertaken. This phase type also includes funding for agency staff and/or contractors to perform work that supports the transportation system (e.g., bridge inspection, traffic center operations, etc.).

## **FEDERAL AID SCHEDULED FOR OBLIGATION, BUT NOT OBLIGATED**

Approximately \$18.941 million in Federal aid was eligible for obligation in Ulster County in the past 18 months, but not obligated. This total includes new projects and/or phases becoming eligible for obligation in FFY 2008 combined with FFY 2007 projects and phases that remain unobligated. Table 1 identifies project phases obligated in the past 18 months. Table 2 identifies project phases eligible for obligation in the past 18 months, but not obligated.

**Table 1: UCTC Listing of Federal Aid Projects/Phases Obligated (October 1, 2006 to March 31, 2008)**

<b>FEDERAL HIGHWAY ADMINISTRATION (FHWA) FUNDS (in Millions of Dollars)</b>						
<b>PIN</b>	<b>PROJECT DESCRIPTION (Lead Agency)</b>	<b>PROJECT PHASE IDENTIFIED ON TIP</b>	<b>AMOUNT PROGRAMMED ON TIP</b>	<b>TOTAL PROJECT COST</b>	<b>PROJECT PHASES OBLIGATED (Oblig. Date)</b>	<b>TOTAL FEDERAL FUNDS OBLIGATED</b>
814150	Gardiner Route 44/ Mara Kill Bridge Replacement. BIN 1025480 (NYSDOT)	CONSTRUCTION; CONSTRUCTION INSPECTION	1.100	1.100	CONSTRUCTION; CONSTRUCTION INSPECTION (07/07)	<b>0.014</b>
817743	Rochester Route 209 Bridge Replacement over Rochester Creek; BIN 1040750 (NYSDOT)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS; ROW ACQUISITION; CONSTRUCTION; CONSTRUCTION INSPECTION	2.390	2.390	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS; ROW ACQUISITION; CONSTRUCTION; CONSTRUCTION INSPECTION (11/06)	<b>0.116</b>
823912	Lloyd Route 9W/Twaalfskill Bridge Replcement; BIN 1007320 (NYSDOT)	CONSTRUCTION; CONSTRUCTION INSPECTION	18.700	18.700	CONSTRUCTION; CONSTRUCTION INSPECTION (05/07)	<b>12.549</b>
823936	Esopus Route 9W; Clay Road to Canal Street. To demolish Town Hall and finish intersection work. (NYSDOT)	DETAILED DESIGN; CONSTRUCTION; CONSTRUCTION INSPECTION	0.616	0.616	DETAILED DESIGN; (09/07)	<b>0.024</b>
846049	Rosendale Route 32 Bridge Rehabilitation over the Rondout Creek. BIN 1022310 (NYSDOT)	ROW INCIDENTALS; ROW ACQUISITION; CONSTRUCTION; CONSTRUCTION INSPECTION	3.935	3.935	ROW INCIDENTALS; ROW ACQUISITION; CONSTRUCTION; CONSTRUCTION INSPECTION (??/??)	<b>0.000</b>
875781	TILLSON AVE: ROUTE 9W TO ROUTE 44/55, HIGHLAND (TOWN OF LLOYD)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS; ROW ACQUISITION	0.100	2.080	PRELIMINARY DESIGN; DETAILED DESIGN; ROW INCIDENTALS (10/06)	<b>0.300</b>
875954	TISSAL ROAD @ OLD KINGS HIGHWAY: TOWN OF SAUGERTIES (NYSDOT)	SCOPING; PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS; ROW ACQUISITION; CONSTRUCTION; CONSTRUCTION INSPECTION	2.075	2.200	SCOPING; PRELIMINARY DESIGN; ROW INCIDENTALS (03/07)	<b>0.688</b>
876018	RECONSTRUCTION OF THE PIKE PLAN (CITY OF KINGSTON)	PRELIMINARY & DETAILED DESIGN; CONSTRUCTION; CONSTRUCTION INSPECTION	1.230	1.345	PRELIMINARY DESIGN; DETAILED DESIGN (07/07)	<b>0.080</b>
876019	PEDESTRIAN WATERFRONT WALKWAY (City of Kingston)	PRELIMINARY & DETAILED DESIGN; CONSTRUCTION; CONSTRUCTION INSPECTION	1.265	1.390	PRELIMINARY DESIGN; DETAILED DESIGN (07/07)	<b>0.100</b>
878021	Gardiner Sidewalks on Route 44/55 (Town of Gardiner)	MISCELLANEOUS	0.445	0.445	MISCELLANEOUS (??/??)	<b>0.000</b>
878022	HUDSON VALLEY RAIL TRAIL TRANSPORTATION ENHANCEMENT AWARD Tony Williams Park to Town Line (TOWN OF LLOYD)	MISCELLANEOUS	0.000	1.930	MISCELLANEOUS (??/??)	<b>0.000</b>
878023	WALLKILL VALLEY RAIL TRAIL EXTENSION (TOWN OF NEW PALTZ)	MISCELLANEOUS	0.000	1.299	MISCELLANEOUS (??/??)	<b>0.000</b>
882323	Ulster County: Trailways Bus Service, Fare Subsidy, Parking Reimbursements (NYSDOT)	MISCELLANEOUS	0.526	0.847	MISCELLANEOUS (01/08)	<b>0.520</b>
8T0456	Village of New Paltz Park and Ride Lot (NYSDOT)	MISCELLANEOUS	0.450	0.450	Miscellaneous (01/08)	<b>0.000</b>
8TR047	SAUGERTIES Turkey Point State Forest Trail (Town of Saugerties)	MISCELLANEOUS	0.000	0.069	Miscellaneous (03/07)	<b>0.020</b>
8TR057	Gardiner Rail Trail Development (Town of Gardiner)	MISCELLANEOUS	0.000	0.140	MISCELLANEOUS (03/07)	<b>0.100</b>
<b>FHWA SUB TOTAL</b>			<b>32.832</b>	<b>38.936</b>		<b>14.511</b>
<b>FEDERAL TRANSIT ADMINISTRATION (FTA) FUNDS (in Millions of Dollars)</b>						
8TRU14	UCAT - PROJECT ADMINISTRATION/ PREVENTATIVE MAINTENANCE	MISCELLANEOUS	3.600	1.001	MISCELLANEOUS	<b>0.801</b>
8TRU15	UCAT - BUS STOP SHELTERS	MISCELLANEOUS	0.200	0.050	MISCELLANEOUS	<b>0.040</b>
8TRU29	UCAT - CUTAWAY BUS	MISCELLANEOUS	0.300	0.067	MISCELLANEOUS	<b>0.058</b>
8TRU32	PURCHASE OF 2 CUTAWAY PARATRANSIT VEHICLES (CITIBUS)	MISCELLANEOUS	0.066	0.066	MISCELLANEOUS	<b>0.052</b>
<b>FTA SUB TOTAL</b>			<b>4.166</b>	<b>1.184</b>		<b>0.951</b>
<b>FHWA &amp; FTA TOTAL OBLIGATIONS</b>						<b>15.462</b>
<b>TOTAL FEDERAL AID ELIGIBLE, BUT NOT OBLIGATED</b>						<b>18.941</b>
<b>TOTAL FEDERAL AID ELIGIBLE FOR OBLIGATION</b>						<b>34.403</b>
<b>LRTP FEDERAL AID OBLIGATION TARGET</b>						<b>21.652</b>
<b>FEDERAL AID OBLIGATION PERFORMANCE</b>						<b>44.9%</b>

Source: FHWA, NYSDOT, UCAT, Citibus, and the Year 2030 LRTP.

**Table 2: Unobligated Projects/Phases (October 1, 2006 to March 31, 2008)**

<b>FEDERAL HIGHWAY ADMINISTRATION (FHWA) FUNDS (in Millions of Dollars)</b>						
<b>PIN</b>	<b>PROJECT DESCRIPTION (Lead Agency)</b>	<b>PROJECT PHASE IDENTIFIED ON 2008-2012 TIP</b>	<b>AMOUNT PROGRAMMED ON 2008-2012 TIP</b>	<b>TOTAL PROJECT COST</b>	<b>PROJECT PHASES ELIGIBLE FOR OBLIGATION (Obligation Date)</b>	<b>TOTAL FEDERAL FUNDS NOT OBLIGATED</b>
801842	Shandaken Rt. 28 Esopus Creek Bridge Rehabilitation; (NYSDOT)	CONSTRUCTION INSPECTION; CONSTRUCTION	1.988	1.988	DETAILED DESIGN (3/08)	<b>0.107</b>
823912	Lloyd Rt. 9W Twaalfskill Bridge Replacement; (NYSDOT)	CONSTRUCTION & INSPECTION	0.000	18.700	CONSTRUCTION & INSPECTION (6/07)	<b>2.411</b>
823938	Marlborough Rt. 9W at Young Avenue intersection improvement (Town of Marlborough?)	PRELIMINARY & DETAILED DESIGN; CONSTRUCTION & INSPECTION	0.000	0.710	PRELIM & DETAILED DESIGN; CONSTRUCTION & INSPECTION (5/07)	<b>0.552</b>
846049	Rosendale RT. 32/RONDOUT CREEK BRIDGE REHAB (NYSDOT)	ROW ACQUISITION & INCIDENTALS; CONSTRUCTION & INSPECTION	3.935	3.995	CONSTRUCTION & INSPECTION (11/07)	<b>3.148</b>
846059	Rosendale Rt. 32/Park Ride Lot; (NYSDOT)	MISCELLANEOUS	0.137	0.190	MISCELLANEOUS (6/07)	<b>0.018</b>
875619	Wawarsing MAIN STREET/RONDOUT CREEK BRIDGE REPLACEMENT (COUNTY)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	1.980	2.055	CONSTRUCTION & INSPECTION (11/07)	<b>1.644</b>
875710	Kingston Abeel Street paving, sidewalks, drainage; (City of Kingston)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	0.000	3.647	ROW ACQUISITION; CONSTRUCTION & INSPECTION (11/06)	<b>2.916</b>
875712	Saugerties Glasco Turnpike/Plattekill Creek Bridge Replacement; (County)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	1.020	1.045	ROW ACQUISITION (11/06)	<b>0.020</b>
875713	Olive ROUTE 213 EXTENSION/TANGORE CREEK Bridge Replacement; (COUNTY)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	1.385	1.385	CONSTRUCTION & INSPECTION (11/07)	<b>0.120</b>
875770	Shawangunk HOAGERBURGH ROAD/SHAWANGUNK BRIDGE REHAB; (COUNTY)	PRELIMINARY & DETAILED DESIGN; ROW INCID & ACQUISITION; CONSTRUCTION & INSPECTION	0.273	1.490	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.112</b>
875771	Ellenville CAPE AVENUE/ BEERKILL BRIDGE REHAB; (COUNTY)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.211	0.962	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.088</b>
875801	Ellenville HICKORY STREET/BEERKILL BRIDGE REHAB; (VILLAGE OF ELLENVILLE)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	0.175	0.180	CONSTRUCTION & INSPECTION (11/07)	<b>0.144</b>
875891	Marlborough OLD POST ROAD CULVERT REPLACEMENT/REPAIR (TOWN OF MARLBOROUGH)	ROW ACQUISITION; CONSTRUCTION & INSPECTION	0.595	0.595	ROW ACQUISITION (11/07)	<b>0.001</b>
875913	Kingston GOLDEN HILL DRIVE TO GREENKILL AVE; PED FACILITIES REHAB (CITY OF KINGSTON)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.060	0.531	PRELIMINARY AND DETAILED DESIGN; ROW INCIDENTALS (11/07)	<b>0.044</b>
875928	Ulster BOICES LANE @ MORTON BLVD REPAVING; (COUNTY)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.045	0.301	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.020</b>
875929	Ulster ENTERPRISE DRIVE REPAVING FROM BOICES LANE TO LOHMIER LANE; (COUNTY)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.072	0.577	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.028</b>

**Table 2 (cont): Unobligated Projects/Phases (October 1, 2006 to March 31, 2008)**

<b>FEDERAL HIGHWAY ADMINISTRATION (FHWA) FUNDS (in Millions of Dollars)</b>						
<b>PIN</b>	<b>PROJECT DESCRIPTION (Lead Agency)</b>	<b>PROJECT PHASE IDENTIFIED ON 2008-2012 TIP</b>	<b>AMOUNT PROGRAMMED ON 2008-2012 TIP</b>	<b>TOTAL PROJECT COST</b>	<b>PROJECT PHASES ELIGIBLE FOR OBLIGATION (Obligation Date)</b>	<b>TOTAL FEDERAL FUNDS NOT OBLIGATED</b>
875954	Saugerties TISSELL ROAD @ OLD KINGS HIGHWAY; INTERSECTION Improvement; (NYSDOT)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	2.075	2.200	CONSTRUCTION & INSPECTION (10/07)	<b>1.072</b>
875993	Ulster LEGGS MILL ROAD/SEREMMA COURT Intersection Improvement, (TOWN OF ULSTER)	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.046	0.412	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.020</b>
875994	Rosendale LUCAS AVENUE/COTTEKILL ROAD Intersection Improvement, (TOWN OF ROSENDALE)	PRELIMINARY & DETAILED DESIGN; ROW & ACQUISITION; CONSTRUCTION & INSPECTION	0.046	0.257	PRELIMINARY DESIGN; ROW INCIDENTALS (11/07)	<b>0.020</b>
876007	Ellenville Sam's Point Preserve	PRELIMINARY & DETAILED DESIGN; ROW & ACQUISITION; CONSTRUCTION & INSPECTION	0.409	0.500	PRELIMINARY & DETAILED DESIGN; ROW (05/07)	<b>0.073</b>
876008	Village of Saugerties Downtown Pedestrian Improvements	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS & ACQUISITION; CONSTRUCTION & INSPECTION	0.995	1.075	PRELIMINARY & DETAILED DESIGN; ROW INCIDENTALS (05/07)	<b>0.165</b>
878007	Ulster County Visitor's Center, (County)	MISCELLANEOUS	0.000	4.963	MISCELLANEOUS (5/07)	<b>0.500</b>
878008	Marbletown O&W Trail Extension, STP Enhancements Project, (Town of Marbletown)	MISCELLANEOUS	0.000	0.200	MISCELLANEOUS (5/07)	<b>0.160</b>
880862	Kingston/Esopus Wurts Street over Rondout Creek Bridge Painting, (NYSDOT)	CONSTRUCTION & INSPECTION	0.000	3.740	MISCELLANEOUS (6/07)	<b>2.992</b>
8T0449	Kingston INTERMODAL CENTER (COUNTY)	SCOPING; PRELIMINARY & DETAILED DESIGN; ROW INCID & ACQUIS; CONSTRUCTION & INSPECTION	10.193	10.193	SCOPING (11/07)	<b>0.064</b>
8T0453	Kingston, Ulster RIVERLINE RAILROAD CROSSING IMPROVEMENTS; (COUNTY)	SCOPING; PRELIMINARY & DETAILED DESIGN; ROW INCID & ACQUISITION; CONSTRUCTION & INSPECTION	3.681	3.681	SCOPING (3/08)	<b>0.027</b>
	<b>FHWA SUBTOTAL</b>		<b>29.321</b>	<b>65.572</b>		<b>16.466</b>
8TRU14	PROJECT ADMINISTRATION AND PREVENTIVE MAINTENANCE (UCAT)	MISCELLANEOUS	3.600	4.450	MISCELLANEOUS	<b>0.720</b>
8TRU15	ULSTER COUNTY BUS SHELTER PROGRAM (UCAT)	MISCELLANEOUS	0.200	0.250	MISCELLANEOUS	<b>0.040</b>
8TRU16	PREVENTIVE MAINTENANCE (TRAILWAYS)	MISCELLANEOUS	4.285	4.285	MISCELLANEOUS	<b>0.685</b>
8TRU17	OPERATIONS (CITIBUS)	MISCELLANEOUS	0.950	1.150	MISCELLANEOUS	<b>0.100</b>
8TRU18	PROJECT ADMINISTRATION (CITIBUS)	MISCELLANEOUS	0.264	0.330	MISCELLANEOUS	<b>0.052</b>
8TRU19	PREVENTIVE MAINTENANCE (CITIBUS)	MISCELLANEOUS	0.304	0.380	MISCELLANEOUS	<b>0.060</b>
8TRU29	PURCHASE 4 CUTAWAY BUSES (UCAT)	MISCELLANEOUS	0.300	0.600	MISCELLANEOUS	<b>0.240</b>
8TRU39	PURCHASE OF ADMINISTRATIVE VEHICLE (CITIBUS)	MISCELLANEOUS	0.022	0.022	MISCELLANEOUS	<b>0.018</b>
8TRU40	PURCHASE TWO 35- PASSENGER AND ONE 20- PASSENGER (UCAT)	MISCELLANEOUS	0.700	0.700	MISCELLANEOUS	<b>0.560</b>
	<b>FTA SUBTOTAL</b>		<b>10.625</b>	<b>12.167</b>		<b>2.475</b>
	<b>FHWA &amp; FTA TOTAL</b>		<b>39.946</b>	<b>77.739</b>		<b>18.941</b>